

Review Priorities for Grant Transfer Applications (Forms G)

NOTE: Auditing is done to all PDF files uploaded in the application for compliance of font type, font size, characters per inch, lines per vertical inch, PDF filename and PDF file type guidelines, US Letter paper size, URL, Hyperlinks, images, and videos.

Pre-Transfer Application Requirements	Form Completion	Review Responsibility	Additional HSSPPO Auditing
Relinquishing statement	Department	Department	Ensures previous institution submitted via eRA Commons and provided a copy to UCSD
Original grant NOA	Department	Department	Ensures received for UCSD files
Original grant application	Department	Department	Ensures received for UCSD files
IACUC protocol information (when applicable)	Department	Department	Ensures received for UCSD files
IRB protocol information (when applicable)	Department	Department	Ensures received for UCSD files

Application Information	Form Completion	Review Responsibility	Additional HSSPPO Auditing
Summary	Department	HS SPPO	Depending on submission timeline, Ensures correct info, FOA, and form type (i.e. Forms G).
SF424 (R&R) Form	Department	HS SPPO	Depending on submission timeline, Ensures completed properly
PHS 398 Cover Page Supplement Form			
1. Vertebrate Animal Section	Department	Department	Ensures completed properly
2. Program Income Section	Department	Department	Ensures completed properly
3. Human Embryonic Stem Cells Section	Department	Department	Ensures completed properly and compliance when applicable
4. Human Fetal Tissue (HFT) Section	Department	Department	Ensures completed properly and compliance when applicable
5. Inventions and Patents Section (Renewals only)	Department	Department	Ensures completed properly when applicable
6. Change of Investigator/Change of Institution Section	Department	Department	Ensures completed properly - required for transfers
R&R Other Project Information Form			
1. Response to Human Subjects	Department	Department	Ensures completed properly and UCSD assurance#
2. Response to Animals Subjects	Department	Department	Ensures completed properly and UCSD assurance#
3. Proprietary/Privileged Information	Department	Department	Ensures completed properly
4. Impact to the Environment	Department	Department	Ensures completed properly
5. Designated or Eligible as a Historical Place	Department	Department	Ensures completed properly
6. Activities Outside the USA or International Collaborators	Department	Department	Ensures if yes, then review Item#12 Other Attachments
7. Project Summary/Abstract	Department	Department	Audit number of lines compliance. No hyperlinks and/or URLs are allowed unless specified in the FOA.
8. Project Narrative	Department	Department	Audit number of sentences compliance. No hyperlinks and/or URLs are allowed unless specified in the FOA.
9. Bibliography & References Cited	Department	Department	No hyperlinks and/or URLs are allowed unless specified in the FOA, except for citations that are not covered by the Public Access Policy, but are publicly available in a free, online format may include URLs or PubMed ID (PMID) numbers along with the full reference. Active hyperlinks in this section are not allowed.

10. Facilities & Other Resources	Department	Department	Ensures formats and guideline compliance for UCSD updates. No hyperlinks and/or URLs are allowed unless specified in the FOA.
11. Equipment	Department	Department	Ensures formats and guideline compliance for UCSD updates. No hyperlinks and/or URLs are allowed unless specified in the FOA.
12. Other Attachments (when applicable)	Department	Department	Ensures completed properly
Project Performance Site Location(s) Form			
UEI #, Congressional District, and subaward sites (when applicable)	Department	Department	Audit content and ensures completed properly
Senior/Key Person Profile (Expanded) Form			
Credential	Department	Department	Ensures eRA Commons ID is completed for PD/PI(s) and all Sr/Key Personnel
Investigator & Key Personnel Information	Department	Department	Ensures completed properly and correct information
Project Role	Department	Department	Ensures correct role, matches budget role and the role of "Co-PI" is not utilized
Degree Type and Year	Department	Department	
Biographical Sketch	Department	Department	Audit page limits, content and format comply with guidelines. No hyperlinks and/or URLs are allowed except for the link to all publications, under C. Contributions to Science unless specified
Current & Pending Support (when applicable)	Department	Department	Ensures content and format comply with guidelines. No hyperlinks and/or URLs are allowed unless specified in the FOA
PHS 398 Modular Budget			
Not applicable	Department	Department	Not applicable for grant transfer applications per guideline -- see below
R&R Budget Form			
Budget Form	Department	Department	Ensures using R&R Detailed Budget form per guideline. <i>Guideline: If the budget for the original award was submitted in a modular format, use the R&R Detailed Budget form for all electronic applications - see guideline for more details</i>
All Fields	Department	HS SPPO	Depending on submission timeline, ensures completed properly & IDC calculation.
Budget Justification	Department	Department	Audit for consistency and compliance (i.e. effort, UC/VA, cost-sharing, tuition remission, use of HFT, NGN, HS-TSC, equipment quotes). No hyperlinks and/or URLs are allowed unless
R&R Subaward Budget Attachment Form			
All Fields	Department	Department	Audit for completeness and free of submission errors. Additionally, if previous institution is now a subaward of the grant, ensure all documents are received and signed where required
Budget Justification	Department	Department	Adheres to guidelines. No hyperlinks and/or URLs are allowed unless specified in the FOA
PHS 398 Research Plan Form			
Introduction			
1. Introduction to Application (when applicable)	Department	Department	Audit page limits and guideline compliance. No hyperlinks and/or URLs are allowed unless specified in the FOA.
Research Plan Section			

2. Specific Aims	Department	Department	Audit page limits and guideline compliance. No hyperlinks and/or URLs are allowed unless specified in the FOA.
3. Research Strategy	Department	Department	Audit page limits and guideline compliance. No hyperlinks and/or URLs are allowed unless specified in the FOA.
4. Progress Report Publication List (when applicable)	Department	Department	If transferring on the anniversary date, include the progress report for the current year-see guideline. Ensures completed. No hyperlinks and/or URLs are allowed unless specified in the FOA. <i>Note, per the guidelines, citations that are not covered by the NIH Public Access Policy, but are publicly available in a free, online format may include URLs or PMID numbers along with the full reference.</i>
Other Research Plan Section (when applicable)			
5. Vertebrate Animals	Department	Department	Ensures formats, document integrity and images are not allowed
6. Select Agent Research	Department	Department	Ensures formats and document integrity
7. Multiple PD/PI Leadership Plan	Department	Department	Ensures formats and document integrity
8. Consortium/Contractual Arrangements	Department	Department	Ensures PHS 398 Face Page is completed and signed for all subaward sites. Additionally, if previous institution is now a subaward of the grant, ensure received and included here
9. Letters of Support	Department	Department	Ensures completed. No hyperlinks and/or URLs are allowed unless specified in the FOA.
10. Resource Sharing Plan	Department	Department	Ensures formats and document integrity
11. Authentication of Key Biological and/or Chemical	Department	Department	Ensures formats and document integrity
Appendix Section (when applicable per FOA)			
12. Appendix	Department	Department	Ensures formats, document integrity and compliance with FOA
PHS Human Subjects and Clinical Trials Information (when applicable)			
Section 1. Basic Information	Department	Department	Ensures completed in full
Section 2. Study Population Characteristics	Department	Department	Ensures compliance – Not required for Exemption 4 (only) designation
Section 3. Protection and Monitoring Plans Section	Department	Department	Ensures completed per guideline
Section 4. Protocol Synopsis Section	Department	Department	Ensures compliance – Only required if answered “Yes” to all Clinical Trial Questionnaire (Sect.1)
Section 5. Other Clinical Trial-related Attachments Section	Department	Department	Ensures compliance – Do not complete unless FOA specifies
PHS Assignment Request Form			
Agency, review committee, etc.	Department	Department	Ensures completed if used (n/a for transfers)