UC San Diego Health

HS SPPO Contacts Meeting

June 15, 2022

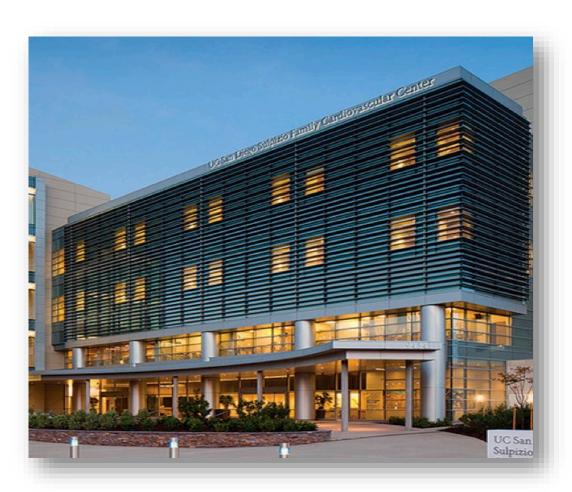


Who Are We?



Agenda

- UC San Diego & HS SPPO Updates & Reminders
 - HS SPPO & What We Review in the Final Review
 - HS SPPO Minimum Requirements for Review
 - HS SPPO Newsletter Raffle (2 Raffles!)
 - HS SPPO Website Updates
 - Human Fetal Tissue (HFT)
 - IRB Attestations for JIT/RPPRs/Supplement Applications
 - Most Fellowships moving from Graduate Division to OCGA
 - Post Doc Employee Salary Rates Updated
 - Sign-up for the HS Contact's Listserv
 - ...HS SPPO Retirements
- NIH Updates & Reminders
 - Data Sharing Guidance & Upcoming Updated Requirements
 - Extension of the Special Exception to the NIH/AHRQ Post-Submission Material Policy During the COVID-19 Pandemic: January 2023 Councils
 - Reminder: GSR Max Compensation Allowed & Max Effort
 - Recent Updates to Other Support & Biosketches
 - Clarifying Digital e-Signatures for Other Support & How to Flatten a Secure PDF
 - Updates to the RPPR & Forms H are Coming!



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UC San Diego & HS SPPO Updates/Reminders

HS SPPO & What We Review in the Final Review

When you submit your PD Record & application for submission to HS SPPO <u>before</u> 8:00 AM on the day it is due, you will receive a final review.

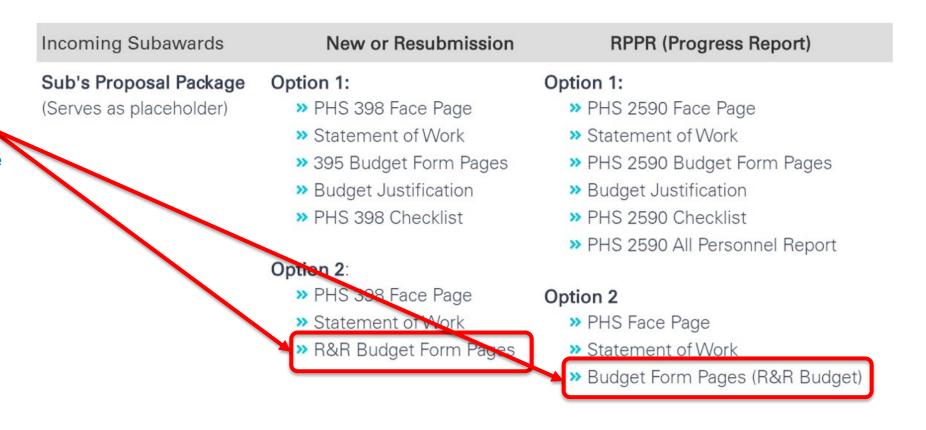
We will only review the comments we made in the initial and/or previous review. We will not review anything else, unless you inform us when you forward everything for submission in the PD Record of what has been changed and should be included in the final review, such as the budget in years 1 and 3.



Reminder: HS SPPO Minimum Requirements for Review

The R&R Budget Form Pages is the preferred way to send the budget to the institution submitting to NIH.

Please keep this document interactive and do not flatten it. The institution submitting to NIH will need upload our budget into their application or RPPR and it needs to be interactive.



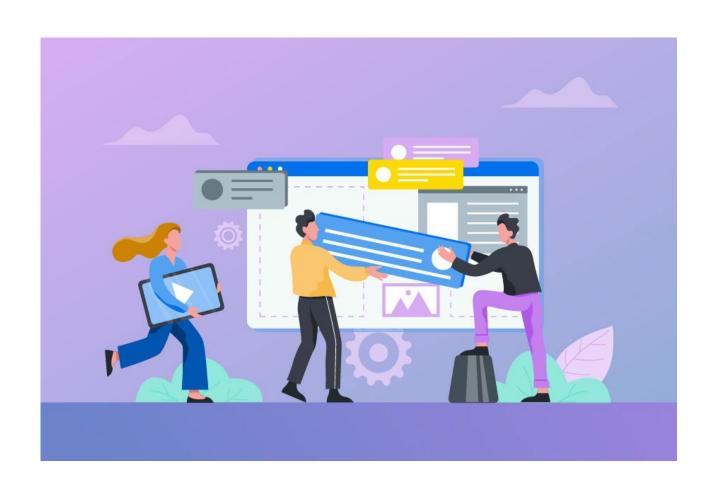
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HS SPPO Newsletter Raffle: 2 Raffles!!! **February Newsletter** Marianne Clark Angie Robles Lauren Wilkes Shachi Maewal Kimberly Kruse Jaymee Sanford Brianne Decker **May Newsletter** Victoria Banks Lauren Wilkes Brianne Decker https://www.miniwebtool.com/random-name-picker/

HS SPPO Website Updates

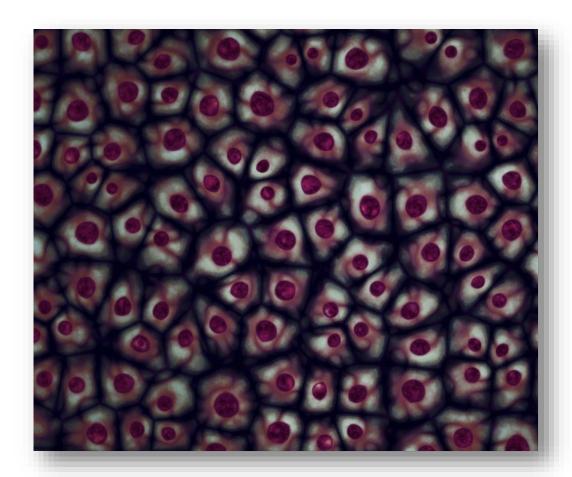
We have updated or created the following pages:

- Analyst Review Assignments
- NIH Other Support
- NIH Biosketch
- Human Fetal Tissue (HFT)
- Resources: Templates & Boilerplates

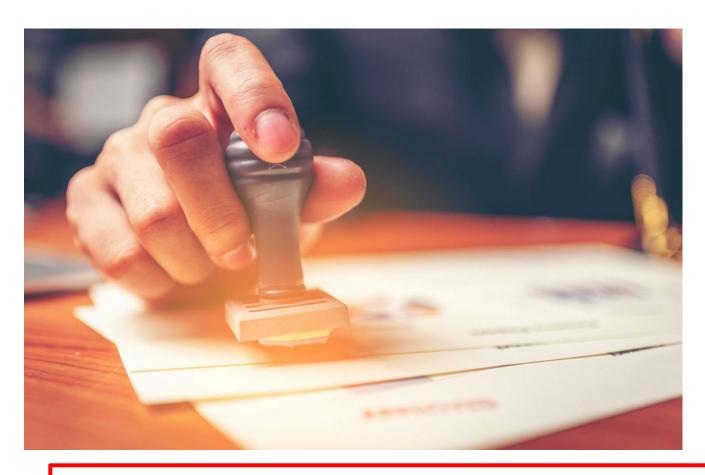


Human Fetal Tissue (HFT)

- Stem Cell Activities and NIH Supported Human Fetal Tissue Research at UC San Diego was a webinar hosted by the RCI on May 18, 2022. The last portion of the webinar went step-by-step on the new process for both departments and PIs on what is needed to be done both at UC San Diego as well as what needs to be done in the PD Record and ASSIST application when submitting to NIH. The webinar video can be seen here.
- This step-by-step process for review, submission, and award acceptance is also on the HS SPPO Website. The process is broken down for the following audiences: what a Central SPO Officer needs to know; what the Department RA needs to; and what the PI needs to know. This page is located here.



IRB Attestations for JIT/RPPRs/Supplement Applications



- The IRB Office no longer does congruency reviews for federal awards, such as NIH.
- The onus has shifted to the PI on whether or not the IRB protocol # is accurate.
- The PI must send an email to the HS SPPO Analyst (upon request) where they confirm the appropriate IRB Protocol #(s). Once HS SPPO has received the protocol #(s) and email, they can then verify the approval and dates in Kuali Protocols.

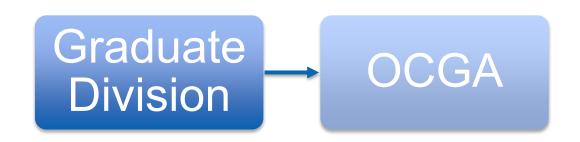
Email language: "I confirm this IRB approval is associated with this application/grant/award. This protocol represents the research being performed in this application/grant/award."

Most Fellowships moving from Graduate Division to OCGA

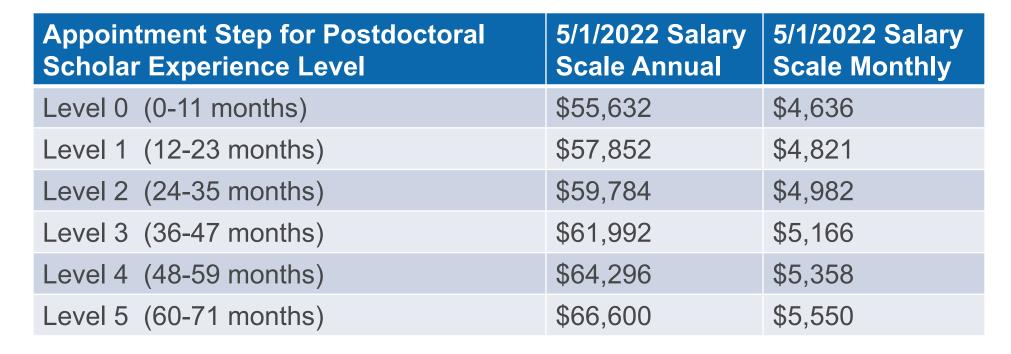
Fellowships that used to be submitted by Graduate Division are now being transitioned over to OCGA for submission and award acceptance.

Note, NIH F30 and F32 Fellowships that HS SPPO submits are staying with HS SPPO. They are not moving over to OCGA.

Also, note, HS SPPO is still the Institutional Business Official for NIH Loan Repayment Program (LRP) for Health Sciences.



Reminder: Post Doc Employee Salaries Updated



Reminder: escalate using the next level in the table.

https://postdoc.ucsd.edu/postdocs/appointment-guidelines.html

Sign-up for the HS Contact's Listserv!

If you are not yet on the HS Contacts Listserv, then sign up today!

All you have to do is email Nicole Ketchum @ nketchum@health.ucsd.edu and request to be added to the HS Contacts Listserv ©



...HS SPPO Retirements!!!





Thanhdieu Rich Erika Wilson

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NIH Updates & Reminders

Data Management & Sharing Guidance & Upcoming Requirements

NIH has created a website just for their upcoming policy on resource data. An overview of the policy can be found here.

For applications due on or after January 25, 2023, NIH will require researchers to prospectively
plan for how scientific data will be preserved and shared through submission of a Data
Management and Sharing Plan.

Investigators will be required to:

- Submit a <u>Data Management and Sharing plan</u> outlining how scientific data and any accompanying metadata will be managed and shared, taking into account any potential restrictions or limitations.
- Comply with the Data Management and Sharing plan approved by the funding IC.
 - NIMH Data Sharing for Applicants and Awardees & NIAID Data Management and Sharing Guidelines

Data Management & Sharing Guidance & Upcoming Requirements

- The policy applies to all NIH-supported research that results in the generation of scientific data, regardless of funding mechanism. See <u>Research Covered Under the Data Management & Sharing Policy</u> for more details.
- The DMS Policy does not apply to research and other activities that do not generate scientific data, for example: training, infrastructure development, and non-research activities.
- During a research project's funding period, compliance with the DMS plan will be determined by the NIH IC. After the end of the funding period, non-compliance with the DMS plan may be taken into account by NIH for future funding decisions for the recipient institution.
- FAQs page is <u>here</u> & information on Planning & Budgeting for DMS can be found <u>here</u>.
- Expect more information to come out over the next few months as we move closer to the go-live date for the 2023 DMS Policy.

Extension of the Special Exception to the NIH/AHRQ Post-Submission Material Policy During the COVID-19 Pandemic: January 2023 Councils (NOT-OD-22-113)

- For applications submitted this current submission cycle, NIH & AHRQ will accept a one-page
 update with preliminary data as post-submission materials for applications submitted under all
 activity codes, ONLY if the FOA used for submission allowed preliminary data in the application.
 - One page of preliminary data will be accepted for single-component applications or for each component of a multi-component application.
 - The deadline for submitting all post-submission materials, including preliminary data, will be 30 days before the study section meeting, unless specified otherwise in the FOA.
- All other materials listed in <u>NOT-OD-19-083</u> as acceptable post-submission materials will continue to be accepted if submitted 30 days before the study section meeting. Instructions for submission are included in <u>NOT-OD-19-083</u>.

https://grants.nih.gov/grants/guide/notice-files/NOT-OD-22-113.html

Reminder: GSR Max Compensation Allowed & Max Effort

NIH & Max Compensation Allowable

The maximum amount that NIH will award to support the compensation package for a graduate student research assistant remains at the zero-level postdoctoral stipend, as described in the NIH GPS (Section 7.9). Compensation includes salary, CBRs, and tuition remission.

For FY 2022, this is \$54,840/yr or \$4,570/mo. Postdoctoral employees can be appointed up to 100%.

UC San Diego & Max Allowable Effort

UC graduate student employment policy states that a full-time graduate student may be employed a maximum of 50% time* (20 hours per week) during the academic year and up to 100% time (40 hours per week) during the summer months.

The summer is defined as the day after the Spring quarter ends and the day before the Fall quarter begins.

*GSRs should only be appointed at 49.99%, not 50.00%

GSEPP Chapter 1: Academic Eligibility: Basic Qualifications for Employment Eligibility

Reminder: Recent Updates to Other Support & Biosketches

- For due dates on or after January 25, 2022, NIH began to require applicants and current awardees to use the updated forms for both Other Support & Biosketches. This is a reminder to use them and to follow the updated guidelines and FAQs.
- HS SPPO participated in two RCI Townhalls on the recent updates to the NIH Other Support & Biosketch. There was a slight update or two from the first session to the last session.

Video of Session Links	PPT of Session Links			
November 10, 2021 Video	November 10, 2021 PPT			
January 12, 2022 Video	January 12, 2022 PPT			

 Please note, NIH is working with other federal agencies, such as NSF, DOD, and DOE, on harmonization and consistency across agencies for both of these forms, so expect future updates to come.

https://grants.nih.gov/grants/guide/notice-files/NOT-OD-21-073.html https://grants.nih.gov/grants/guide/notice-files/NOT-OD-21-110.html

Reminder: Recent Updates to Other Support & Biosketches

- Reminders for Other Support:
 - There is now only one Other Support Format Page for all types of submissions to NIH.
 - The format page has been re-organized to separate pending and funded projects from in-kind contributions. You do not list completed projects.
 - Expectations for Reporting In-Kind Resources
 - In-kind contributions, e.g. office/laboratory space, equipment, supplies, employees, and students.
 - If the time commitment or dollar value of the in-kind contribution is not readily ascertainable, the recipient must provide reasonable estimates.
- FAQs for Other Support are located <u>here</u>.
- FAQs for Biosketches are located <u>here</u>.

Other Support Format Page & New Changes

OMB No. 0925-0001 and 0925-0002 (Rev. 12/2020 Approved Through 02/28/2023)

For New and Renewal Applications – DO NOT SUBMIT UNLESS REQUESTED PHS 398 OTHER SUPPORT

There is no "form page" for reporting Other Support. Information on Other Support should be provided in the format shown below.

*Name of Individual: Commons ID:

Other Support - Project/Proposal

*Title:

Major Goals:

*Status of Support:

Project Number:

Name of PD/PI:

*Source of Support:

*Primary Place of Performance:

Project/Proposal Start and End Date: (MM/YYYY) (if available):

- * Total Award Amount (including Indirect Costs):
- * Person Months (Calendar/Academic/Summer) per budget period.

Year (YYYY)	Person Months (####)
1[enter year 1]	
2[enter year 2]	
3[enter year 3]	
4[enter year 4]	
5[enter year 5]	

Note for Subs or Multi-Project Awards: Indicate the project number, Name of PD/PI, and source of Support for the overall project. Provide **all** other information (e.g. total award amount, person months) for the subproject only.

OMB No. 0825-0001 and 0825-0002 [KeV. 12/2020 Approved I frough 02/28/2023)						
Name of Individual: Commons ID:						
	IN-KIND					
*Summary of In-Kind Contribution:						
*Status of Support:						
*Primary Place of Performance:						
Project/Proposal Start and End Date (MM/YYYY) (if available):						
*Person Months (Calendar/Academic/Summer) per budget period						

Year (YYYY) Person Months (##.##)

1. [enter year 1]

2. [enter year 2]

3. [enter year 3]

4. [enter year 4]

5. [enter year 5]

*Estimated Dollar Value of In-Kind Information:

*Overlap (summarized for each individual):

*This specific form is mandatory to use as it provides information on ALL domestic & foreign active and pending funded & unfunded support for personnel on NIH funded projects as well as in-kind contributions

I, PD/PI or other senior/key personnel, certify that the statements herein are true, complete and accurate to the best of my knowledge, and accept the obligation to comply with Public Health Services terms and conditions if a grant is awarded as a result of this application. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties.

*Signature:	
Date:	

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https://grants.nih.gov/grants/forms/othersupport.htm

Reminder: New Non-Fellowship Biosketch Format Pages

Non-Fellowship Biosketches Changes

Section A 'Personnel Statement' may now include details on ongoing and completed research projects from the past three years that they want to draw attention to, along with still highlighting up to four publications.

Section B 'Positions, Scientific Appointments, and Honors' renamed from 'Positions and Honors.'

- List only **current** positions and scientific appointments.
- All "Positions, Scientific Appointments, and Honors" should be listed in reverse chronological order.

Section D 'Research Support' has been removed.

OMB No. 0925-0001 and 0925-0002 (Rev. 10/2021 Approved Through 09/30/2024)

BIOGRAPHICAL SKETCH

Provide the following information for the Senior/key personnel and other significant contributors Follow this format for each person. DO NOT EXCEED FIVE PAGES.

NAMF:

eRA COMMONS USER NAME (credential, e.g., agency login):

POSITION TITLE:

EDUCATION/TRAINING (Begin with baccalaureate or other initial professional education, such as nursing <u>include</u> postdoctoral training and residency training if applicable. Add/delete rows as necessary.)

INSTITUTION AND LOCATION	DEGREE (if applicable)	Completion Date MM/YYYY	FIELD OF STUDY

- A. Personal Statement
- B. Positions, Scientific Appointments, and Honors

scientific positions, scientific appointments, and honors - both foreign and domestic as well as both paid and not-paid.

Updated Section B to capture ALL

C. Contributions to Science

https://grants.nih.gov/grants/forms/biosketch.htm

Reminder: New Fellowship Biosketch Format Pages

Fellowship Biosketches Changes

Section A 'Personnel Statement' may now include details on ongoing and completed research projects from the past three years that they want to draw attention to, along with still highlighting up to four publications.

Section B 'Positions, Scientific Appointments, and Honors' renamed from 'Positions and Honors'

- List only **current** positions and scientific appointments.
- All "Positions, Scientific Appointments, and Honors" should be listed in reverse chronological order.

updated to remove 'Research Support.'

Section D 'Scholastic Performance' has been

OMB No. 0925-0001 and 0925-0002 (Rev. 12/2020 Approved Through 02/28/2023)

BIOGRAPHICAL SKETCH

Provide the following information for the Senior/key personnel and other significant contributors. Follow this format for each person. DO NOT EXCEED FIVE PAGES.

NAMF:

eRA COMMONS USER NAME (credential, e.g., agency login):

POSITION TITLE:

EDUCATION/TRAINING (Begin with baccalaureate or other initial professional education, such as nursing include postdoctoral training and residency training if applicable. Add/delete rows as necessary.)

INSTITUTION AND LOCATION	DEGREE (if applicable)	Start Date MM/YYYY	Completion Date MM/YYYY	FIELD OF STUDY

- A. Personal Statement
- B. Positions, Scientific Appointments and Honors
- C. Contributions to Science

Updated Section B to capture ALL scientific positions, scientific appointments, and honors - both foreign and domestic as well as both paid and not-paid.

D. Scholastic Performance

YEAR COURSE TITLE GRADE

https://grants.nih.gov/grants/forms/biosketch.htm

Type of Activity	Biographical Sketch	Other Support	Annual Project Reports	Post-Award Information Terms & Condition	Disclosure Not Required
Professional preparation (e.g., educational degrees)	Х				
Organizational Affiliations and Appointments	Х				
Academic, professional, or institutional appointments, whether	Х				
or not remuneration is received, and whether full-time, part-					
time, or voluntary					
All projects currently under consideration from whatever		Х	Х	Х	
source, and all ongoing projects, irrespective of whether					
support is provided through the proposing organization,					
another organization or directly to the individual, and					
regardless of whether or not they have monetary value (e.g.,					
even if the support received is in-kind such as office/laboratory					
space, equipment, supplies, or employees.)					
Recently completed support or support that has ended					Х
Current or pending participation in, or applications to, programs	Х	Х			
sponsored by foreign governments, instrumentalities, or	(Appropriate	(Appropriate			
entities, including foreign government-sponsored talent	placement may	placement may			
recruitment programs	be contract-	be contract-			
	dependent)	dependent)			
In-kind contributions not intended for use on the		Х	X	X	
project/proposal being proposed					
Visiting Scholars in Labs funded by an external entity		Х		Х	
Students and postdoctoral researchers funded by an external		Х	Х	X	
entity					
Consulting that falls outside of an individual's appointment;		Х	Х	Х	
separate from institution's agreement					200
Consulting that is considered part of an individual's					X
appointment/agreement with their home institution (e.g., a PI					
at a foreign institution's retention contracts within their home institution)					
Travel supported/paid by an external entity to perform research		Х	х	Х	
activities with an associated time commitment		Λ	^	Λ.	
					V
Travel supported/paid by an external entity to attend a					Х
conference or workshop					

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NIH Pre-award and Post-award Disclosures Relating to the Biographical Sketch and Other Support

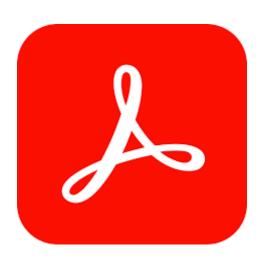
Type of Activity	Biographical Sketch	Other Support	Annual Project Reports	Post-Award Information Terms & Condition	Disclosure Not Required
Honoraria or other payments given for the purpose of conferring distinction or to symbolize respect, esteem, or admiration unrelated to research oversight, supervision, or coauthorship					Х
Teaching commitments					Х
Startup company based on organization-licensed Intellectual Property (IP)					Х
Startup packages provided to the individual from the proposing/home institution					X
Startup packages from organizations other than the proposing/home institution		Х	X	X	
Training awards, prizes, and gifts. Gifts are resources provided where there is no expectation of anything, (e.g., time, services, specific research activities, money, etc.) in return					Х
Mentoring as part of an appointment, or mentor/mentee arrangements that do not involve the individual's research activities					Х
Academic year salary, or salary provided to the individual by the home institution					X
Core facilities and/or shared equipment that is broadly available					Х
F&A Reimbursement provided to the proposing/home institution					X,
Certification by the individual that the information disclosed is accurate, current, and complete (e.g., signature of the researcher)		Х	Х		
Supporting Documentation (e.g., contracts, grants, other agreements)		Х			
Significant Financial Interests: Disclosure Not Required in Other Support. See NIH FCOI Policy NIH GPS 4.1.10. Disclosures must be made in FCOI module.					Х

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Clarifying Digital e-Signatures for Other Support & How to Flatten a Secure PDF

There are two electronic signature (e-signature) options you can use at UC San Diego: **DocuSign** and **Adobe Acrobat**. Both of these options can create NIH's required audit trail. The onus falls on the department or school to maintain these records. The Central SPO will submit to NIH flattened versions of these Other Support PDFs that will not contain the certifications or properties.





DocuSign At UC San Diego



- Log in through SSO
- Once logged in, DocuSign allows you to drag and drop the document you need to have signed.
- You can be both the host and signer.
- You can also be the host and forward an email to the person that needs to sign.
- For each step in the process, you will receive an email telling you it has to be signed as well as that it is complete.
- Once you download the document to your desktop, it will show that it has been signed and all the signatures are valid in Adobe Acrobat.
- When you download the document you will also download the Certificate of Completion which you
 must save for audit purposes.
 - Please do not upload this to eRA Commons or ASSIST to be sent to NIH.

DocuSign at UC San Diego



Here is an example of the e-Signature and the Certificate of Completion.



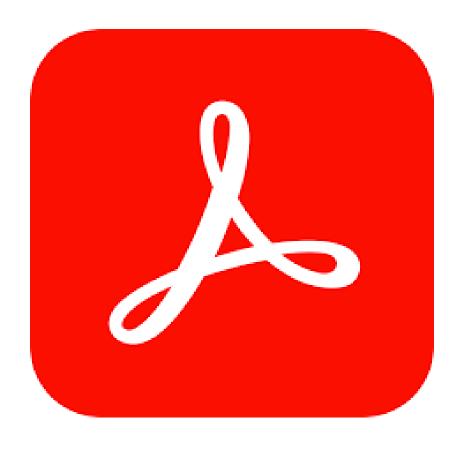
Status

Timestamps

Payment Events

Electronic Record and Signature Disclosure

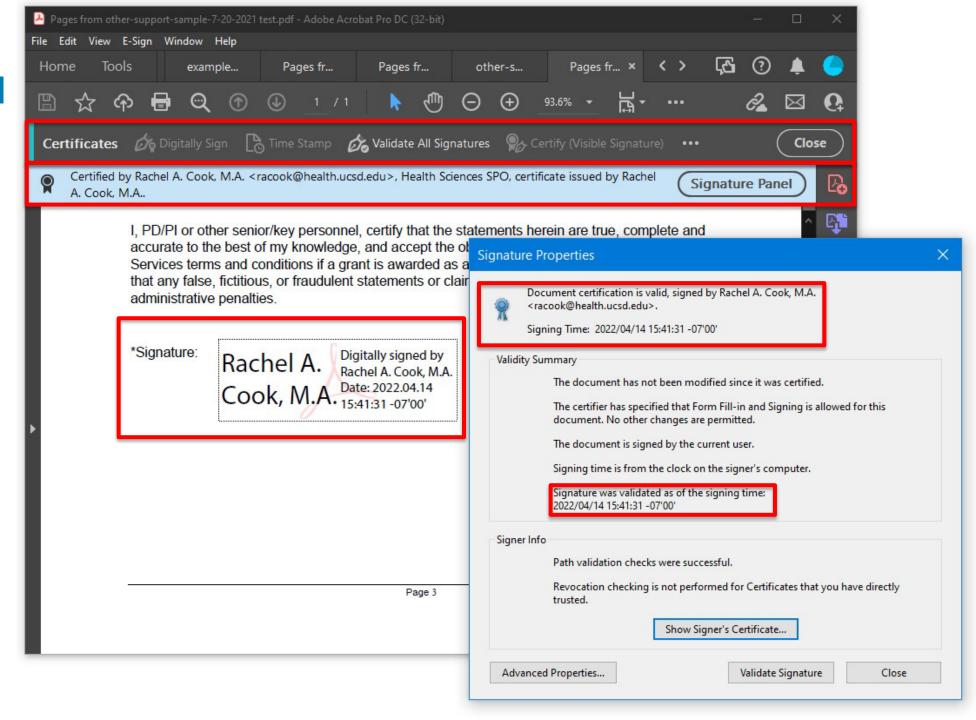
Adobe Acrobat: Certificates Tool



- Adobe Acrobat has the "Fill & Sign" tool to add signatures, but this option only allows you to sign and not to both sign and certify the document. Thus, no audit trail is created where you can verify who actually signed the document and when.
- When using Adobe Acrobat, make sure to select the "Certificates" tool.
- You will have two options you can select:
 - Digitally Sign option; or
 - Certify (Visible Signature) option.
- Both of the above options will work, but the latter of these two is the preferred choice.

Adobe Acrobat: Certificates Tool

Here is an example of the e-Signature (using the Certify (Visible Signature) option) and the Signature Properties showing who and when this was digitally signed.



How to Flatten a Secure PDF: 2 Options

Print to PDF vs. Drag & Drop Options

• In most cases, *Print to PDF* (instead of print to a specific printer) will work. However, some secure PDFs will not successfully *Print to PDF*. If this is the case, then you will have to *Drag & Drop* into an open Browser window. This will open the PDF up in the browser, allowing you to *Print to PDF*. This will remove all security features and all you to combine PDFs as well as allow you to upload the unsecured/flattened PDFs into eRA Commons or your ASSIST application. Another variation on the *Drag & Drop* is to right-click on the file and request to open it with your browser, then *Print to PDF*.



Updates to the RPPR are Coming!

The RPPR Module in eRA Commons is getting a facelift!

These upgrades to the module & the final RPPR Guidelines are expected to be released on June 23, 2022 (See NOT-OD-22-130).

Action Required for Budget Forms

- Recipients are alerted that the non-SNAP budget forms will be updated to a new format. As a result of this update, users with in-progress RPPR forms will be required to re-enter budget form data if the in-progress RPPR is not submitted by June 22, a day before the release.
- RPPRs submitted on or before June 22 will reflect the FORMS-F budget forms. Due dates for submission of the RPPR remain unchanged. For more information, go here.

Key Updates to the RPPR

- The upgrade includes adding the new unique entity identifier (UEI) fields where applicable and required FORMS-G changes, including updated budget forms.
- Modified instruction language to align with the updated Other Support and Biosketch format pages and associated instructions. See <u>NOT-OD-21-073</u> and <u>NOT-OD-21-110</u> and <u>Other Support</u> instructions.
- For NIH, modified Question G.4. Human Subjects to incorporate Human Subjects System and Clinical Trials reporting language in line with <u>NOT-OD-20-125</u>.
- Language for Question B.4 modified to incorporate electronic submission requirement for the trainee diversity report in line with <u>NOT-OD-20-178</u>.
- Added instructional language for NRSA childcare costs reporting and requests in the RPPR, as per NOT-OD-21-074 and NOT-OD-21-177. AHRQ NRSA recipients should refer to NOT-HS-22-013 (Individual Fellows) and NOT-HS-22-014 (Institutional Research Training Awards).

Forms H are Coming!



What?

Forms H is coming?

Didn't we just start using Forms G?

- Yes, you heard right. Forms H is coming and will be required for all due dates on or after January 25, 2023 (that is about 6-7 months from now...)
- Main Change: updates to accommodate submission of data sharing plans as required by the new NIH Policy for Data Management and Sharing (see <u>NOT-OD-21-013</u>).



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Health Sciences Sponsored Project Pre-Award Office (HS SPPO)

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